



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (a) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/615,041	07/08/2003	1756	1010	TJK/398	2	17	3

CONFIRMATION NO. 3932

CORRECTED FILING RECEIPT



OC00000013271768

27717
SEYFARTH SHAW
55 EAST MONROE STREET
SUITE 4200
CHICAGO, IL 60603-5803

Date Mailed: 07/20/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Hiroyuki Otaki, Tokyo, JAPAN;
Toshio Yoshihara, Tokyo, JAPAN;
Yoshihito Maeno, Tokyo, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2002-204797 07/12/2002
JAPAN 2002-304672 10/18/2002
JAPAN 2003-172518 06/17/2003

If Required, Foreign Filing License Granted: 11/24/2003

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

Volume hologram recording photosensitive composition, volume hologram recording
photosensitive medium and volume hologram

Preliminary Class

430

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office:
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371(c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/615,041	07/08/2003	Hiroyuki Otaki	TJK/398

CONFIRMATION NO. 3932

27717
SEYFARTH SHAW
55 EAST MONROE STREET
SUITE 4200
CHICAGO, IL 60603-5803



OC000000013271776

Date Mailed: 07/20/2004

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Title of Invention

In response to your request for a corrected Filing Receipt, the Office cannot comply with your request because:

- ☐ The articles such as "a", "an", and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- ☐ The words "new", "improved", "improvement of", "improvement in", or "improvement relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement. See MPEP 606.
- ☐ The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- ☐ Superscript and subscript are not possible. These characters will appear on the same line.
- ☐ The title appears on the filing receipt in sentence case for publication in the Annual Index of Patents.
- ☒ The title of the invention was captured as provided by applicant on the first page of the specification. An amendment is needed to make this change. (See MPEP 605.02.)
- ☐ The symbol that you have requested as part of the title cannot be captured as presented. It has been captured as written text.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PATENT

Atty. Docket No. TJK/398

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF TRANSMISSION UNDER 37 CFR 1.8

The undersigned hereby certifies that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on this 25TH day of August, 2004.

Date: 8/25/04

By:

Print Name: CHRISTINE PAVLYK

Applicant: Otaki et al

Examiner: n/a

Serial No.: 10/615,041

Art Unit: 1756

Filed: July 8, 2003

For: PHOTSENSITIVE COMPOSITION FOR VOLUME HOLOGRAM RECORDING,
PHOTSENSITIVE MEDIUM FOR VOLUME HOLOGRAM RECORDING AND
VOLUME HOLOGRAM

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

RESPONSE TO "RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT"

Sir:

As requested in the Response to Request for Corrected Filing Receipt dated July 20, 2004 (copy enclosed), Applicant encloses a Supplemental Preliminary Amendment to change the title of the application to read "PHOTSENSITIVE COMPOSITION FOR VOLUME HOLOGRAM RECORDING, PHOTSENSITIVE MEDIUM FOR VOLUME HOLOGRAM RECORDING AND VOLUME HOLOGRAM", as written on the executed Declaration.

The correction is not due to any error by Applicant and no fee is due. The Assistant Commissioner is hereby authorized to charge any necessary fees to Deposit Account 19-1351. In the event of non-payment or improper payment of a required fee, the Assistant Commissioner is hereby authorized to charge or credit Deposit Account No. 19-1351 as required to correct the error. A duplicate copy of this letter is enclosed for fee purposes.

Date:

8/25/04

Respectfully submitted,

By:

Timothy J. Keffer
Reg. No. 35,567
Attorney for Applicant

SEYFARTH SHAW LLP
55 E. Monroe St., Suite 4200
Chicago, IL 60603-5803
Telephone: (312) 269-8552
Facsimile: (312) 269-8869

CHI 10780852.1

1

JC
JCWSCS 25 AUG 2004

PAGE 1/8 * RCVD AT 8/25/2004 3:29:19 PM [Eastern Daylight Time] * SVR:USPTO-EFAXF-2/1 * DNIS:7469195 * CSID:+3123468000 * DURATION (mm:ss):01:58

SEYFARTH
ATTORNEYS **SHAW** LLP

55 East Monroe Street
Suite 4200
Chicago, Illinois 60603
(312) 346-8000
Fax (312) 269-8869
www.seyfarth.com

Facsimile Transmission

Date: August 25, 2004

RECIPIENT	COMPANY	PHONE NO.	FAX NO.
Office of Initial Patent Examination's Filing Receipt Corrections	U.S. Patent and Trademark Office	(703) 308-1202	(703) 746-9195

FROM: Timothy J. Keefer
PHONE: (312) 269-8552
RE: U.S. Patent Application No. 10/615,041

File No:	TJK/398	Number of Pages, Including Cover:	8
----------	---------	-----------------------------------	---

- | | |
|--|--|
| <input type="checkbox"/> Hard copy to follow | <input checked="" type="checkbox"/> Hard copy will not follow |
| <input type="checkbox"/> Per your request | <input type="checkbox"/> Please review and revise if necessary |
| <input type="checkbox"/> Please telephone me | |

MESSAGE:

See attached.

THE INFORMATION CONTAINED IN THIS FACSIMILE IS CONFIDENTIAL AND MAY ALSO CONTAIN PRIVILEGED ATTORNEY-CLIENT INFORMATION OR WORK PRODUCT. THE INFORMATION IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHOM IT IS ADDRESSED. IF YOU ARE NOT THE INTENDED RECIPIENT OR THE EMPLOYEE OR AGENT RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY USE, DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THE FACSIMILE IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE, AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS ABOVE VIA THE U.S. POSTAL SERVICE. THANK YOU.

IF YOU DO NOT RECEIVE ALL THE PAGES, PLEASE PHONE (312) 346-8000 AS SOON AS POSSIBLE.

CHI 10717220.1

F-692

P. 001 T-508

+3123468000

From-Seyfarth Shaw

02:35pm

04-Aug-25